

## PATENT Atty. Docket No. (2517 DIV 2 CON) 203-3449 DIV 2 CON

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Helmut Kayan

Examiner: TBD

Serial No.:

10/751,579

Group: Art Unit TBD

Filed:

January 5, 2004

Dated: April 30, 2004

For:

METHOD FOR BLOOD VESSEL CLIP APPLICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to applicant's continuing duty of disclosure, it is respectfully requested that the disclosures cited in the annexed Form PTO-1449 be considered by the Examiner and made of record in the above-identified application.

The references listed were previously cited and submitted in the parent applications, U.S. Application Serial No. 09/689,208, filed October 11, 2000 and 09/450,921, filed November 29, 1999. In accordance with 37 C.F.R. §1.98(d) and 35 U.S.C. §120, copies of the references can be obtained in the above-identified application.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 30, 2004.

Dated: April 30, 2004

Dana A. Brussel

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art.

The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

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The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
  - [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - [] Please charge the \$130.00 petition fee to Deposit Account No. **21-0550**.

[] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).

[] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to

Deposit Account No. 21-0550.

Please charge any deficiency as well as any other fee(s) which [X]

may become due under 37 C.F.R. §1.16 and/or 1.17 at any time

during the pendency of this application, or credit any overpayment

of such fee(s) to Deposit Account **21-0550**. Also, in the event any

extensions of time for responding are required for the pending

application(s), please treat this paper as a petition to extend the

time as required and charge Deposit Account No. 21-0550 therefor.

TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

Reg. No. 45,717

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INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

Form PTO-1449

Docket Number (Optional) (203-3449 DIV 2 CON ) 2517 DIV 2 CON Application Number

Applicant

Helmut Kayan

Filing Date

Group Art Number

January 5, 2004

TBD

(Use several sheets if necessary)

## **U.S. PATENT DOCUMENTS**

| U.S. PATENT DUCUMENTS |                    |        |                 |       |          |                            |
|-----------------------|--------------------|--------|-----------------|-------|----------|----------------------------|
| Examiner<br>Initial   | Document<br>Number | Date   | Name            | Class | Subclass | Filing Date if Appropriate |
|                       | 3,363,628          | 1/68   | Wood            |       |          |                            |
|                       | 4,556,058          | 12/85  | Green           |       |          |                            |
|                       | 4,607,638          | 8/86   | Crainich        |       |          |                            |
|                       | 4,637,395          | 1/87   | Casper et al.   |       |          |                            |
|                       | 4,799,481          | 1/89   | Transue et al.  |       |          |                            |
|                       | 4,821,721          | 4/89   | Chin et al.     |       |          |                            |
|                       | 4,983,176          | 1/1991 | Cushman et al.  |       |          |                            |
|                       | 4,932,955          | 6/90   | Merz et al.     |       |          |                            |
|                       | 4,990,152          | 2/91   | Yoon            |       |          |                            |
|                       | 5,015,249          | 5/91   | Nakao et al.    |       |          |                            |
|                       | 5,026,379          | 6/91   | Yoon            |       |          |                            |
|                       | 5,049,191          | 9/91   | Nakao et al.    |       |          |                            |
|                       | 5,192,298          | 3/93   | Smith et al.    |       |          |                            |
|                       | 5,645,567          | 7/97   | Crainich        |       |          |                            |
|                       | 5,792,149          | 8/98   | Sherts et al.   |       |          |                            |
|                       | 5,906,625          | 5/99   | Bito et al.     |       |          |                            |
|                       | 6,193,732          | 2/01   | Frantzen et al. |       |          |                            |
|                       | 6,226,843          | 5/01   | Crainich        |       |          |                            |
|                       | 6,537,289          | 3/03   | Kayan et al.    |       |          |                            |
|                       | 6,673,083          | 1/04   | Kayan et al.    |       |          |                            |
|                       | 6,695,854          | 2/04   | Kayan et al.    |       |          |                            |

| Examiner |      |      | Date Co  | onsidered |  |
|----------|------|------|----------|-----------|--|
|          | <br> | <br> | <u> </u> |           |  |

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

| Form PTO-1449                   |                                   |                 | Docket Number (Opt        | Applie           | Application Number |               |                 |
|---------------------------------|-----------------------------------|-----------------|---------------------------|------------------|--------------------|---------------|-----------------|
| INFORMATION DISCLOSURE CITATION |                                   |                 | (203-3449 I<br>2517 DIV 2 |                  | 10/751,579         |               |                 |
| O I P IN AN APPLICATION         |                                   | Applicant       |                           |                  |                    |               |                 |
| ۔ ا                             |                                   |                 | Helmut Kayan              |                  |                    |               |                 |
| MAY 0 3 2004 &                  |                                   | Filing Date     |                           | Group Art Number |                    |               |                 |
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| AUFMAN CALL                     | <del></del>                       | ENT DOCU        | MENTS                     |                  | 1,                 |               |                 |
| Examiner<br>Initial             | Document<br>Number                | Date            | Name                      | Class            | Subclass           |               | Date<br>opriate |
|                                 |                                   |                 |                           |                  |                    |               |                 |
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|                                 |                                   | PATENT I        | OCUMENTS                  | <u> </u>         | T                  | Г_            |                 |
|                                 | Document<br>Number                | Date            | Country                   | Class            | Subclass           | Yes           | slation<br>No   |
|                                 | WO 92/13490 A1                    | 8/92            | PCT                       |                  |                    |               |                 |
|                                 | WO 99/52413 A2                    | 10/99           | PCT                       |                  |                    |               |                 |
|                                 |                                   |                 |                           |                  |                    |               |                 |
|                                 | ОТН                               | IER DOCU        | MENTS                     |                  |                    |               |                 |
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| Examiner  | Date Considered |
|---|-----------------|
| Examiner: Initial if citation considered, whether or nor citation is in citation if not in conformance and not considered. Include copy of this |                 |